Give the following information for key professional personnel listed on page 2, beginning with the Principal Investigator/Program Director. Photocopy this page for each person.

NAME	TITLE BIRTHDATE (Mo., Day, Yr.)
•	Research
Bruce G. Buchanan	Professor of Computer Science/ 7-7-40
EDUCATION (Begin with baccalaureate or other i	itial professional education and include postdoctoral training

INSTITUTION AND LOCATION	DEGREE (circle highest degree)	YEAR CONFERRED	FIELD OF STUDY
Ohio Wesleyan University	B.A.	1961	Mathematics
Michigan State University	M.A.	1966	Philosophy
Michigan State University	Ph.D.	1966	Philosophy

RESEARCH AND/OR PROFESSIONAL EXPERIENCE: Concluding with present position, list in chronological order previous employment, experience, and honors. Include present membership on any Federal Government Public Advisory Committee. List, in chronological order, the titles and complete references to all publications during the past three years and to representative earlier publications pertinent to this application. DO NOT EXCEED TWO PAGES.

## Teaching and Professional Appointments

Teaching and Professional Ap	<u>Joonnainents</u>
1981-present	Professor of Computer Science (Research)
	Stanford University
	Co-Principal Investigator (with E. Feigenbaum) of the
	Heuristic Programming Project since 1976.
1976-1981	Adjunct Professor, Computer Science Dept., Stanford
1972-1976	Research Computer Scientist, Computer
	Science Department, Stanford University
1966-1971	Research Associate, Artificial Intelligence
	Project, Stanford University
1965-1966	Instructor, Department of Philosophy
	Michigan State University

## **Professional Activities**

- Editorial Board, Artificial Intelligence: An International Journal.
- Editorial Board, Journal of Automated Reasoning.
- Editorial Board, MIT Press series on Artificial Intelligence.
- Editorial Board, Addison-Wesley Press series on Expert Systems.
- Advisory Board, IEEE Transactions on Pattern Analysis and Machine Intelligence
- American Association for Artificial Intelligence -- Founding Committee, Program Committees, and Membership Chairman
- National Research Council Panel on Basic and Applied Research in Computer Science (1982-83)
- Teknowledge Inc. -- Co-Founder, Past President, Consulting Senior Scientist, Technology Advisory Board Member
- Comtex Scientific Corp. -- Scientific Advisory Board

Continued

## RECENT AND SELECTED PUBLICATIONS

- E.H. Shortliffe and B.G. Buchanan, "A Model of Inexact Reasoning in Medicine," Mathematical Biosciences, 23, 351, 1975.
- B.G. Buchanan and E.A. Feigenbaum, "DENDRAL and Meta DENDRAL: Their Applications Dimension," Artificial Intelligence, 11 (1.2), 5, 1978.
- E.H. Shortliffe, B.G. Buchanan, and E.A. Feigenbaum, "Knowledge Engineering for Medical Decision Making: A Review of Computer-Based Clinical Decision Aids," Proceedings of the IEEE, 67, 1207-1224, 1979.
- R.K. Lindsay, B.G. Buchanan, E.A. Feigenbaum, and J. Lederberg, Applications of Artificial Intelligence for Chemical Inference: The DENDRAL Project, New York: McGraw-Hill, 1980.
- B.G. Buchanan, "Research on Expert Systems," in J.E. Hayes, D. Michie, and Y.H. Pao (eds.), Machine Intelligence 10, New York: John Wiley, 1982.
- B.G. Buchanan, "Partial Bibliography of Work on Expert Systems," <u>SIGART</u>
  Newsletter No. 84, (Association for Computing Machinery), April, 1983
- Thomas G. Dietterich and B.G. Buchanan, "The Role of Experimentation in Theory Formation," In <u>Proceedings of the International Workshop on Machine Learning</u>
  June 1983, Univ. of Illinois at Urbana-Champaign, pp. 147-155.
- B.G. Buchanan, "Introduction to the Memo Series of the Stanford Artificial Intelligence Laboratory," AI MAGAZINE Vol. 4, No. 4, Winter 1983.
- B.G. Buchanan and E.H. Shortliffe, RULE-BASED EXPERT SYSTEMS: THE MYCIN EXPERIMENTS OF THE STANFORD HEURISTIC PROGRAMMING PROJECT. New York: Addison-Wesley, 1984.
- J.C. Kunz, E.H. Shortliffe, B.G. Buchanan, and E.A. Feigenbaum, "Computer-Assisted Decision Making in Medicine," <u>Journal of Medicine and Philosophy</u> 9:135-160, 1984.
- B.G. Buchanan, "Expert Systems," Journal of Automated Reasoning Vol. 1, No. 1, Winter 1985.
- D.C. Wilkins, B.G. Buchanan, and W.J. Clancey, "Inferring an Expert's Reasoning by Watching." Proceedings of the 1984 Conference on Intelligent Systems and Machines, 1984. (Also HPP Report 84-29)
- B.G. Buchanan, "Expert Systems: Toward Machines that Think." 1985 Yearbook of Science and the Future. Chicago: Encyclopaedia Britannica, Inc., 1984.
- Li-min Fu and B.G. Buchanan, "Enhancing Performance of Expert Systems by Automated Discovery of Meta-Rules," Proceedings of IEEE Conference on Applications of Expert Systems 1984. (Also HPP Report HPP 84-38)
- Li-Min Fu and B.G. Buchanan, "Learning Intermediate Knowledge in Constructing a Hierarchical Knowledge Base," to be presented at IJCAI-1985 and to appear in the conference proceedings.
- R.G. Smith, H.A. Winston, T.M. Mitchell, and B.G. Buchanan, "Representation and Use of Explicit Justifications for Knowledge Base Refiniement," to be presented at IJCAI-85 and to appear in the conference proceedings.

Give the following information for key professional personnel listed on page 2, beginning with the Principal Investigator/Program Director. Photocopy this page for each person.

NAME	TITLE		BIRTHDATE (Mo., Day, Yr.)
Lawrence M. Fagan	Senior Research	n Associate	1/22/51
EDUCATION (Begin with baccalaureate or other initial professional	al education and include po	stdoctoral training)	
INSTITUTION AND LOCATION	DEGREE (circle highest degree)	YEAR CONFERRED	FIELD OF STUDY
Massachusetts Institute of Technology Stanford University, Stanford, Californi University of Miamí, Miamí, Florida	B.S. a Ph.D. M.D.	1973 1980 1983	Interdisciplinary Sci Computer Science Medicine

RESEARCH AND/OR PROFESSIONAL EXPERIENCE: Concluding with present position, list in chronological order previous employment, experience, and honors. Include present membership on any Federal Government Public Advisory Committee. List, in chronological order, the titles and complete references to all publications during the past three years and to representative earlier publications pertinent to this application. DO NOT EXCEED TWO PAGES.

# Professional Experience

1972 - 1973 1973 - 1975	University of Southern California, Information Sciences Institute
1975	Teaching Assistant Department of Computer Saint Co. C.
1975 - 1979	Science, Stanford University MYCIN Project, Department of Computer
1979-1980	Associate Scientist, The Institues of Medical Sciences, Pacific Medical
1980	Research Associate, Heuristic Programming Project Department of
1980 - 1981	Computer Science, Stanford University: ONCOCIN Project. Research Associate, Joint Appointment, Department of Medicine and
1981 - 1983 July 1983	Computer Science, Stanford University, Stanford, Calif. ONCOCIN Project. On leave: Ph.D to M.D. Program, University of Miami, Miami, Florida. Senior Research Associate in Medicine, Department of Medicine, Stanford University.

# Awards and Offices

1979	Paper of the Year - Medical Instrumentation
1980	Chairman, Program Demonstration Sessions, Artificial Intelligence in Medicine Conference, Stanford University, Stanford, California
1980 -	Member, Executive Committee, Heuristic Programming Project
1983	Co-director, Medical Information Science R
1983	Co-director, Medical Information Sciences Program, Stanford University National Liaison - SUMEX-AIM Computer National Resource for
	ALLILICIAL INTELLIGENCE in Modicine
1983 - Publications	Project Director: ONCOCIN, Cancer protocol management system.

- 1. Wraith, S.M., Aikins, J.S., Buchanan, B.G., Clancey, W.J., Davis, R., Fagan, L.M.et al. Computerized consultation system for selection of antimicrobial therapy, Americal Journal Hospital Pharmacy 33:1304-1308, 1976.
- 2. Yu, V.L., Fagan, L.M., Wraith, S.M., et al. Antimicrobial selection by a computer A blinded evaluation by infectious disease experts. J. Amer. Med. Assoc. 242:1279-1282, 1979.
- 3. Osborn, J.J., Fagan, L.M., Fallat, R., Kunz, J.C., McClung, D., Mitchell, R. Managing the data from respiratory measurements. Med. Instrumentation, 13(6), November 1979.

## Biographical sketch, Lawrence M. Fagan - continued

- 4. Fagan, L.M.: Representing time-dependent relations in a medical setting. Ph.D. Thesis, Computer Science Department, Stanford University, 1980. (Thesis advisor: Edward Feigenbaum).
- 5. Fagan, L.M.: Measurement interpretation in the intensive care unit. Fifth Illinois Conference on Medical Information Systems, Champaign, Illinois. May 1979, pp. 253-262.
- 6. Fagan, L.M., Kunz, J.C., Feigenbaum, E.A. and Osborn, J.J.: A symbolic processing approach to measurement interpretation in the intensive care unit. Proc. Third Annual Symposium Computer Applications in Medical Care. Silver Spring, Maryland, October, 1979, pp. 30-33.
- 7. Fagan, L.M., Kunz, J.C., Feigenbaum, E.A., Osborn, J.J.: Representation of dynamic clinical knowledge: Measurement interpretation in the intensive care unit., 6th International Joint Conference on Artificial Intelligence, Tokyo, Japan, August 1979, pp. 260-262.
- 8. Fagan, L.M., Shortliffe, E.H. and Buchanan, B.G.: Computer-based medical decision making: From MYCIN to VM. Automedica 3(2), 1980, pp. 97-106. Also appears in "Readings in Medical Artificial Intelligence", (W. Clancey and E.H. Shortliffe, eds.) Addison-Wesley Publ. Co., 1984.
- 9. Kunz, J.C., Fallat, R.J., McClung, D.H., Osborn, J.J., Votteri, B.A., Nii, H.P., Aikins, J.S., Fagan, L.M., Feigenbaum, E.A.: A physiological rule based system for interpreting pulmonary function test results. Proc. Computers in Critical Care and Pulmonary Medicine, IEEE Press, 1979.
- 10. Fagan, L.M. Understanding Spoken Language. In "The Handbook of Artificial Intelligence" (A. Barr and E.A. Feigenbaum, eds.), Vol. 1, pp 323-362, Los Altos, California, 1981.
- 11. Shortliffe, E.H. and Fagan, L.M. Expert systems research: modeling the medical decision making process. In "An Integrated Approach to Monitoring" (J.S. Gravenstein, R.S. Newbower, A.K. Ream, and N.T. Smith, eds.), pp. 185-202, Woburn, MA. Butterworth's 1983.
- 12. Fagan, L.M., Kunz, J.C., Feigenbaum, E.A., Osborn, J.J. "Extensions to the Rule-Based Formalism for a Monitoring Task". In "Rule-Based Expert Systems: the MYCIN Experiments of the Stanford Heuristic Programming Project" (B. Buchanan and E.H. Shortliffe, eds.) Addison-Wesley, 1984.
- 13. Shortliffe, E.H. and Fagan, L.M. Artificial intelligence: the expert systems approach to medical consultation. Proceedings of the 6th Annual International Symposium on Computers in Critical Care and Pulmonary Medicine, 4-7 June 1984, Heidelberg, Germany.
- 14. Horvitz, E.J., Heckerman, D.E., Nathwani, B.N., and Fagan, L.M. Diagnostic Strategies in the Hypothesis-Directed PATHFINDER System. HPP Memo 84-13. First Conference on Artificial Intelligence Applications, Dec. 5-7, 1984, Denver, Colorado.
- 15. Blum, R.L., Walker, M.G., and Fagan, L.M. Minimycin: A Miniature Rule-Based System. To appear in M.D. Computing.
- 16. Preston, K., Jr., Fagan, L.M., Huang, H.K., and Pryor, T.A. Computing in Medicine. To appear in "Computer", 1984. (Centennial Issue).

#### Invited Talks

National Computer Conference, Los Angeles, CA 1978
Artificial Intelligence in Medicine Workshop, 1979, 1980, 1983, 1984
IEEE Chapter Biomedical Engineering, San Francisco, CA, 1980
Computers in Medicine Conference, Stanford, CA, 1984
Physicians and Computers Conference, Las Vegas, Nevada 1984
Stanford University Obstetrics-Gynecology Grand Rounds, 1984

Give the following information for key professional personnel listed on page 2, beginning with the Principal Investigator/Program Director. Photocopy this page for each person.

NAME	TITLE	BIRTHDATE (Mo., Day, Yr.)
Barbara Hayes-Roth	Sr. Research Associate	1/14/49
		1

EDUCATION (Begin with baccalaureate or other initial professional education and include postdoctoral training) DEGREE (circle YEAR FIELD OF STUDY INSTITUTION AND LOCATION CONFERRED highest degree) 1971 Psychology A.B. Boston University M.S. 1973 Psychology University of Michigan 1974 Psychology Ph.D. University of Michigan

RESEARCH AND/OR PROFESSIONAL EXPERIENCE: Concluding with present position, list in chronological order previous employment, experence, and honors. Include present membership on any Federal Government Public Advisory Committee. List, in chronological order, the titles and complete references to all publications during the past three years and to representative earlier publications pertinent to this application. DO NO EXCEED TWO PAGES.

## Employment History:

1982-Present	Senior Research Associate, Computer Science Department
	Stanford University, Stanford, Ca.
1976-1982	Senior Psychologist/Computer Scientist, Information
	Sciences Department, The Rand Corporation, Santa Monica, Ca.
1974-1976	Member of Technical Staff, Instructional Research Department
	Bell Laboratories, Murray Hill, N.J.
1972-1974	Teaching Fellow, Department of Psychology
	The University of Michigan, Ann Arbor, Mi.
1971	System Analyst, Deapartment of Marketing Research
	The Gillette Company, Boston, Ma.
1969-1971	Consultant and Programming Instructor
	Cambridge Computer Associates, Cambridge, Ma.

## Consulting History:

1984-Present	AI Research Center
	FMC Corporation, Santa Clara, Ca.
1985	AI Research Group
	Martin-Marietta, Denver, Co.
1984-1985	Knowledge Systems Division
	Perceptronics, Menlo Park, Ca.
1982-1985	Cognitive Interface Department
	Hewlitt-Packard, Palo Alto, Ca.
1979-1983	Cognitive Processes Panel
	National Science Foundation, Washington, D.C.
1979-1980	Consulting Associate Professor
	Psychology and Computer Science Departments, Stanford University,
	Stanford, Ca.
1978	Summer Study Group on Educational Testing, Falmouth, Ma.
	National Institute of Education, Washington, D.C.
1977-1979	Adjunct Assistant Professor
	University of California, Los Angeles

# Teaching Experience:

19//	Cognitive Processes in Planning, Stanford University Thinking, University of California, Los Angeles
1972-1974	artificial Intelligence, Learning and Memory, Psychology as a a Natural Science, Psychology Teaching Practicum
1969-1971	Psychology Research Practicum Computer Programming, Cambridge Computer Associates

## Fellowships, Honors and Professional Memberships:

Phi Beta Kappa, 1971

National Institutes of Health Trainee, The University of Michigan, 1971-1972 National Science Foundation Fellow, The University of Michigan, 1972-1974 Nominated as Fellow to the Center for Advanced Studies in the

Behavioral Sciences, Stanford University, 1980 Member, American Association for Artifical Intelligence Member, Cognitive Science Society

# Publications and Technical Reports:

Hayes-Roth, B. A blackboard architecture for control, Artificial Intelligence Journal, 1985, in press. Hayes-Roth, B., and Hewett, M. Learning control heuristics in BB1.

Stanford University, Stanford, Ca. Report HPP-85-2.

Hayes-Roth, B. BB1: An environment for building blackboard systems that control, explain, and learn about their own problem-solving behavior. Stanford University, Stanford, Ca. Report HPP-84-16.

Hayes-Roth, B. A blackboard model of control. Heuristic Programming Project, Stanford University, Stanford, Ca., Report HPP-83-38, August, 1983

Hayes-Roth, B. An Overview of the Blackboard Architecture. Heuristic Programming Project, Stanford University, Stanford, Ca., Report HPP-83-30, February, 1983

Thorndyke, P.W., and Hayes-Roth, B. Differences in spatial knowledge acquired from maps and navigation. Cognitive Psychology, 1982, 14, 560-589.

Hayes-Roth, B. A cognitive science approach to improving planning. Proceedings of the Cognitive Science Society, Berkeley, 1981.

Hayes-Roth, B. Opportunism in consumer behavior. Proceedings of the Association for Consumer Research, October, 1981.

Kanouse, D., and Hayes-Roth, B. Cognitive considerations in the design of product warnings. In Barofsky, I., Mazis, M., and Morris, L.A. (Eds.), Banbury Reports: Product Labeling and Health Risks, Cold Spring Harbor, N.Y., 1980.

Hayes-Roth, B., and Hayes-Roth, F. A cognitive model of planning. Cognitive Science, 1979, 3, 275-310.

Give the following information for key professional personnel listed on page 2, beginning with the Principal Investigator/Program Director. Photocopy this page for each person.

NAME	TITLE	BIRTHDATE (Mo., Day, Yr.)
Harold Brown	Sr. Research Associate	

EDUCATION (Begin with baccalaureate or other initial professional education and include postdoctoral training)

INSTITUTION AND LOCATION	DEGREE (circle highest degree)	YEAR CONFERRED	FIELD OF STUDY
University of Notre Dame	M.S.	1963	Mathematics
Ohio State University	Ph.D.	1966	Mathematics

RESEARCH AND/OR PROFESSIONAL EXPERIENCE: Concluding with present position, list in chronological order previous employment, exper ence, and honors, Include present membership on any Federal Government Public Advisory Committee. List, in chronological order, the titles an complete references to all publications during the past three years and to representative earlier publications pertinent to this application. DO NO EXCEED TWO PAGES.

### Experience:

1979 -	Senior Research Associate, Member Heuristic Programming Project, Department of Computer Science, Stanford University
1977 - 1979	Senior Project Scientist, NASA-Ames Research Center Institute for Advanced Computation, Sunnyvale, CA
1974 - 1977	Research Associate, Member Heuristic Programming Project, Department of Computer Science, Stanford University
1977	Lecturer, Information Science Department, University of California, Santa Cruz, CA
1971 - 1972 1973 - 1974	Visiting Professor, Department of Computer Science, Stanford University
1963 - 1975	Instructor, Assistant Professor, Assistant Chairman, Associate Professor, Professor, Mathematics Department, Ohio State University

Winter 1971, Visiting Professor, Mathematics, Rhine. Westf. Tech. 1973, 1975 Hoch., Aachen

1964 - 1970 Director, Associate Director, NSF - SSTP

1967 - 1968 Visiting Member, Courant Institute of Mathematical Sciences, New York University

1960 - 1963 Assistant to the Chairman, Mathematics Department, University of Notre Dame

# Professional Memberships:

American Association for Artificial Intelligence Association for Computing Machinery Institute of Electrical and Electronic Engineers

# Representative Publications:

- Brown, H.D. and Stefik, M. The partitioning of concerns in digital system design. Proceedings of the MIT Conference on Advanced Research in ULSI, 1981.
- Brown, H.D., Tong, C., and Foyster, G. Environment for circuit design. Computer, vol. 16, no. 12, December 1983.
- Brown, H.D., Yan, J. and Foyster, G. An expert system for assigning mask levels. HPP Report 83-39, October 1983.

Give the following information for key professional personnel listed on page 2, beginning with the Principal Investigator/Program Director. Photocopy this page for each person.

NAME	TITLE	BIRTHDATE (Mo., Day, Yr.)
Hisako Penny Nii	Research Associate	

INSTITUTION AND LOCATION	DEGREE (circle highest degree)	YEAR CONFERRED	FIELD OF STUDY
Stanford University	M.S.	1973	Computer Science
Tufts University	B.S.	1962	Mathematics

RESEARCH AND/OR PROFESSIONAL EXPERIENCE: Concluding with present position, list in chronological order previous employment, experence, and honors. Include present membership on any Federal Government Public Advisory Committee. List, in chronological order, the titles are complete references to all publications during the past three years and to representative earlier publications pertinent to this application, DO NO EXCEED TWO PAGES.

## Experience:

1976 - present	Research Associate, Heuristic Programming Project, Stanford University, Stanford, Ca.
1973 - 1975	Associate Investigator for Computer Science, HASP Project Systems Control, Inc., Palo Alto, California.
1967 - 1968	Systems Engineering Advisor, International Business Machines, World Trade Asia Corporation, Tokyo, Japan.
1962 - 1967	Research Staff Programmer, International Business Machines Corporation, Thomas J. Watson Research Center, Yorktown, New York
1965 - 1967	Project Leader, Electronic Coding Pad (ECP) System, Designed and developed a multi-language, conversational programming and debugging system using display terminals on satellite computers.
1965 - 1966	Assistant Manager, Man-Computer Interaction Group. Designed and implemented an interactive System/360 Assembly Language interpreter. Supervised projects in computer-aided project management, interactive PL/1, and graphic modeling.
1963 - 1964	Programmer. World's Fair Lexical Processing System, translation from Russian to English using special-purpose computer.
1962 - 1963	Programmer, miscellaneous applications ranging from text processing to linear programming problems.

#### Recent Publications

- Nii, H. Penny. "Research on Blackboard Architectures at the Heuristic Programming Porject", Heuristic Programming Project Memo HPP-85-24, May 1985. Also to appear in: "The Proceedings of the Workshop on AI and Distributed Problem Solving", 1985.
- Feignebaum, E., H. Brown, B. Delagi, R. Gabriel, P. Nii, and T. Rindfleisch. "Advanced Architectures Project: Scope and Approach," Heuristic Programming Project Memo HPP-84-40, October, 1984.
- Nii, H. Penny. "Signal-to-Symbol Transformation: Reasoning in the HASP/SIAP Program", Proc. of ICASSP'84.
- Nii, H. Penny. "Signal-to-Symbol Transformation: A Summary of HASP/SIAP Case Study," Intellectual Leverage for the Information Society, Digest of Papers, Spring Compcon83, IEEE Catalog No. 83CH1856-4, pp. 120-125.
- Nii, H. P., E. A. Feigenbaum, J. J. Anton, and A. J. Rockmore. "Signal-to-Symbol Transformation: HASP/SIAP Case Study", The Artificial Intelligence Magazine, Vol 3, No. 1, 1982.
- Nii, H. P. An Introduction to Knowledge Engineering, Blackboard Model, and AGE, Heuristic Programming Project Memo HPP-80-29, Computer Science Dept., Stanford University, 1980.

#### Manuals

- Aiello, N., H.P. Nii, and W.C. White. The Joy of AGE-ing: An Introduction of AGE-1 System, Heuristic Programming Project Memo HPP-81-23, Computer Science Dept., Stanford University, October 1981.
- Aiello, N., H.P. Nii, and W.C. White. AGE System Reference Manual, Heuristic Programming Project Memo HPP-81-24, Computer Science Dept., Stanford University, October 1981.
- Aiello, N. and Nii, H. P. BOWL: A Beginner's Program Using AGE, AGE Example Series: No. 1, Heuristic Programming Project Memo-81-26, 1980.
- Aiello, N. and H.P. Nii. AGEPUFF: A Simple Event-Driven Program, AGE Example Series No. 2, Heuristic Programming Project Memo-81-25, 1981.

# 1.5. Current and Pending Support

The following lists the sources of current and pending support for key personnel in our proposal:

#### EDWARD H. SHORTLIFFE

#### CURRENT SUPPORT:

Agency: National Institutes of Health ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287 Period Covered: 8/1/81 - 7/31/86

Current Award: (8/1/84 - 7/31/85) \$1,108,929 Percent Effort Committed To Project: 10%

Agency: National Institutes of Health

ID Number: RR-01631

Project Title: Studies of the Dissemination of Consultation Systems

Principal Investigator: Edward H. Shortliffe Amount Awarded: \$624,455 Period Covered: 7/1/83-6/30/86 Current Award: (7/1/84-6/30/85) \$222,511 Percent Effort Committed To Project: 30%

Agency: National Institutes of Health

ID Number: LM-04136

Project Title: Therapy-Planning Strategies for Consultation by Computer

Principal Investigator: Edward H. Shortliffe

Amount Awarded: \$211,851 Period Covered: 8/1/83- 7/31/86

Current Award: (8/1/84-7/31/85) \$69.875

Percent Effort Committed To Project: 5% (No salary)

Agency: National Science Foundation

ID Number: IST 83-12148

Project Title: Information Structure and Use in Knowledge-Based

Expert Systems

Principal Investigators: Bruce G. Buchanan & Edward H. Shortliffe

Amount Awarded: \$330,138 (Total Costs)

Period Covered: 3/1/84-2/28/87

Current Award: (3/1/85-2/28/86) \$101.308 (Total Costs)

Percent Effort Committed To Project: 5%

Agency: National Institutes of Health

ID Number: 1 T32 LM07033

Project Title: Postdoctoral Training in Medical Information Science

Principal Investigator: Edward H. Shortliffe

Amount Awarded: \$903,718 Period Covered: 7/1/84-6/30/89

Current Award: (7/1/84-6/30/85) \$79,059

Percent Effort Committed To Project: 15% (No salary)

Agency: Henry J. Kaiser Family Foundation

ID Number: None

Project Title: Henry J. Kaiser Faculty Scholar in General Internal Medicine

Principal Investigator: Edward H. Shortliffe

Amount Awarded: \$150,000
Period Covered: 7/1/83-6/30/86 (renewable until June 1988)
Current Award: (7/1/84-6/30/85) \$50,000
Percent Effort Committed To Project: Supports time on other projects;

provides 40% of salary plus unrestricted research funds

#### PENDING SUPPORT:

Agency: National Institutes of Health ID Number: 1 RO1 LM04420-01

Project Title: Knowledge Management for Clinical Trial Advice Systems

Principal Investigator: Edward H. Shortliffe

Amount Requested: \$314,707 Period Covered: 7/1/85-6/30/88

Percent Effort Committed To Project: 5%

Agency: National Center for Health Services Research / National

Institutes of Health

ID Number: NCI/HS-05414

Project Title: Computer support for clinical research in the community

Principal Investigator: Edward H. Shortliffe Amount Requested: \$1,578,840

Period Covered: 10/1/85-9/30/89

Percent Effort Committed To Project: 10%

## EDWARD A. FEIGENBAUM

#### CURRENT SUPPORT:

Agency: National Institutes of Health ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287 Period Covered: 8/1/81 - 7/31/86

Current Award: (8/1/84 - 7/31/85) \$1,108,929 Percent Effort Committed To Project: 6%

Agency: Boeing Computing Services Company

ID Number: None

Project Title: Research on Blackboard Problem-Solving Systems Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Awarded: \$225,000 (Total Costs) Period Covered: 2/1/85 - 1/31/86 Percent Effort Committed To Project: 5%

Agency: Defense Advanced Research Projects Agency

ID Number: N00039-83-C-0136
Project Title: Heuristic Programming Project

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan Amount Awarded: \$3,354,493 (Total Costs)

Period Covered: 10/1/82 - 9/30/85

Percent Effort Committed To Project: 10%

Agency: Defense Advanced Research Projects Agency

ID Number: MDA903-83-C-0188

Project Title: Research Computing Equipment Modernization

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$2,565,000 (Total Costs)

Period Covered: 6/1/83 - 5/31/85

Percent Effort Committed To Project: 0% salary

Agency: Defense Advanced Research Projects Agency

ID Number: F30602-85-C-0012

Project Title: Expert Systems on Multiprocessor Architecture

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$4,454,444 (Total Costs)

Period Covered: 3/14/85 - 3/13/89

Percent Effort Committed To Project: 19%

Agency: NASA-AMES Research Center

ID Number: NCC 2-220, S1
Project Title: Research on Advanced Knowledge-Based System Architectures

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$265,000 (Total Costs) Period Covered: 10/1/82 - 11/30/85 Percent Effort Committed To Project: 2%

Agency: IBM; IBM/Stanford Joint Study

ID Number: None

Project Title: The Use of Design Models in the Diagnosis of Computer Hardware

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$846,824 (Total Costs) Period Covered: 6/1/80 - 5/31/85

Percent Effort Committed To Project: 2%

Agency: National Science Foundation

ID Number: MCS-8310236

Project Title: Applications of AI to Molecular Biology

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$270,836 (Total Costs) Period Covered: 11/1/83 - 10 /31/85 Current Award: (11/1/84 - 10/31/85) \$131,621 (Total Costs)

Percent Effort Committed To Project: 4% (no current salary support)

Agency: National Science Foundation

ID Number: MCS-8303142
Project Title: The Mechanization of Formal Reasoning (Computer Research)

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$183,921 (Total Costs) Period Covered: 7/15/83 - 6/30/85

Current Award: (7/1/84 - 6/30/85) \$98,657 (Total Costs)

Percent Effort Committed To Project: 2% (no current salary support)

## PENDING SUPPORT:

Agency: Defense Advanced Research Projects Agency

ID Number: None

Project Title: Knowledge-Based Systems Research

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Requested: \$4,464,793 (Total Costs) Period Covered: 10/1/85 - 9/30/88 Percent Effort Committed To Project: 15%

#### BRUCE G. BUCHANAN

## **CURRENT SUPPORT:**

Agency: National Institutes of Health ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287

Period Covered: 8/1/81 - 7/31/86 Current Award: (8/1/84 - 7/31/85) \$1,108,929 Percent Effort Committed To Project: 10%

Agency: NASA-Ames Research Center

ID Number: NCC02-274

Project Title: Research On Knowledge Representation

Principal Investigator: Bruce G. Buchanan

Amount Awarded: \$850,000 (Proposed Total Costs)

Period Covered: 10/1/83 - 9/30/88 (support level pending for future years)

Percent Effort Committed To Project: 10%

Agency: Defense Advanced Research Projects Agency

ID Number: N00039-83-C-0136

Project Title: Heuristic Programming Project

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Awarded: \$3,354,493 (Total Costs) Period Covered: 10/1/82 - 9/30/85 Percent Effort Committed To Project: 40%

Agency: National Science Foundation

ID Number: IST-83-12148

Project Title: Information Structure-Use Knowledge-Based Expert Systems

Principal Investigators: Bruce Buchanan & Edward H. Shortliffe

Amount Awarded: \$330,138 (Total Costs)

Period Covered: 3/15/84 - 2/28/87 (support level pending for future years)

Current Award: (3/1/85 - 2/28/86) \$101,308 Percent Effort Committed To Project: 5%

Agency: National Science Foundation

ID Number: PCM-84-02348

Project Title: Interpretation of NMR Data for Proteins Using AI Methods

Principal Investigators: Bruce Buchanan & Oleg Jardetzky

Amount Awarded: \$100,000 (Total Costs) Period Covered: 11/1/84 - 10/31/86

Current Award: (11/1/84 - 10/31/85) \$50,000 (Total Costs)

Percent Effort Committed To Project: 0% (No salaries included in grant.)

Agency: NASA-Goddard Space Flight Center

ID Number: NAG-5-261

Project Title: Planning in Uncertain and Unforgiving Situations, and

Planning Physical Actions

Principal Investigators: Bruce G. Buchanan & Thomas O. Binford Amount Awarded: \$127,837 (Total Costs) (level pending for future years) Period Covered: 9/1/83 - 8/31/85

Percent Effort Committed To Project: 12%

Agency: Josiah Macy, Jr. Foundation

ID Number: None

Project Title: A Family of Intelligent Tutoring Programs for

Medical Diagnosis

Principal Investigator: Bruce G. Buchanan Amount Awarded: \$503,415 (Total Costs) Period Covered: 3/1/85 - 2/29/88

Percent Effort Committed To Project: 5%

Agency: International Business Machines

ID Number: None

Project Title: Attempts to Determine the User's Conceptualization System

Principal Investigator: Bruce G. Buchanan Amount Awarded: \$165,000 (Total Costs) Period Covered: 5/11/84 - 5/10/85 Percent Effort Committed To Project: 5%

Agency: Boeing Computing Services Company

ID Number: None

Project Title: Research on Blackboard Problem-Solving Systems Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Awarded: \$225,000 (Total Costs)
Period Covered: 2/1/85 - 1/31/86
Percent Effort Committed To Project: 10%

Agency: Lawrence Livermore

ID Number: None

Project Title: Research on Intelligent Budget Planning and

Resource Management Systems

Principal Investigator: Bruce G. Buchanan Amount Awarded: \$49,964 (Total Costs) Period Covered: 12/14/84 - 9/30/85 Percent Effort Committed To Project: 3%

#### PENDING SUPPORT:

Agency: National Institutes of Health

ID Number: None

Project Title: Understanding and Critiquing Clinical Trials Literature

Principal Investigators: Bruce G. Buchanan & B.W. Brown

Amount Requested: \$340,316
Period Covered: 7/1/85 - 6/30/88
First Year: 7/1/85 - 6/30/86 \$107,505
Percent Effort Committed To Project: 5%

Agency: Office of Naval Research

ID Number: None

Project Title: Computer-Based Tutors for Explaining and Managing

the Process of Diagnostic Reasoning Principal Investigator: Bruce G. Buchanan Amount Requested: \$510,622 (Total Costs) Period Covered: 3/15/85 - 3/14/88

Percent Effort Committed To Project: 5%

# Current and Pending Support

Agency: Office of Naval Research

ID Number: None

Project Title: Expert Control of Problem-Solving Search

Principal Investigator: Bruce G. Buchanan Amount Requested: \$725,899 (Total Costs) Period Covered: 8/1/85 - 7/31/88 Percent Effort Committed To Project: 5%

Agency: Defense Advanced Research Projects Agency

ID Number: None
Project Title: Knowledge-Based Systems Research
Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan
Amount Requested: \$4,464,793 (Total Costs)
Period Covered: 10/1/85 - 9/30/88

Percent Effort Committed To Project: 35%

# LAWRENCE M. FAGAN

#### CURRENT SUPPORT:

Agency: National Institutes of Health ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287

Period Covered: 8/1/81 - 7/31/86 Current Award: (8/1/84 - 7/31/85) \$1,108,929 Percent Effort Committed To Project: 5%

Agency: National Institutes of Health

ID Number: RR 01613

Project Title: Studies in the Dissemination of Consultation Systems

Principal Investigator: Edward H. Shortliffe Amount Awarded: \$624,455 Period Covered: 7/1/83 - 6/30/86 Current Award: (7/1/84 - 6/30/85) \$222,511 Percent Effort Committed To Project: 16%

Agency: National Institutes of Health

ID Number: LM-04136

Project Title: Therapy-Planning Strategies for Consultation by Computer

Principal Investigator: Edward H. Shortliffe

Amount Awarded: \$211,851 Period Covered: 8/1/83-7/31/86

Current Award: (8/1/84-7/31/85) \$69.875 Percent Effort Committed To Project: 29%

Agency: National Institutes of Health

ID Number: 1 R23 LM04316

Project Title: Explanation of Computer-Assisted Therapy Plans

Principal Investigator: Lawrence M. Fagan

Amount Awarded: \$107.441 Period Covered: 2/1/85-1/31/88

Current Award: (2/1/85-1/31/86) \$37,500 Percent Effort Committed To Project: 50%

PENDING SUPPORT: NONE

# Current and Pending Support

## THOMAS C. RINDFLEISCH

## CURRENT SUPPORT:

Agency: National Institutes of Health

ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287
Period Covered: 8/1/81 - 7/31/86
Current Award: (8/1/84 - 7/31/85) \$1,108,929
Percent Effort Committed To Project: 100%

#### PENDING SUPPORT:

Agency: Defense Advanced Research Projects Agency

ID Number: None

Project Title: Knowledge-Based Systems Research

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Requested: \$4,464,793 (Total Costs)

Period Covered: 10/1/85 - 9/30/88

Percent Effort Committed to Project: 20%

## WILLIAM J. YEAGER

## **CURRENT SUPPORT:**

Agency: National Institutes of Health ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experimental Computer Resource (SUMEX)
Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum
Amount Awarded: \$6,400,287
Period Covered: 8/1/81 - 7/31/86
Current Award: (8/1/84 - 7/31/85) \$1,108,929
Percent Effort Committed To Project: 100%

PENDING SUPPORT: NONE

#### Current and Pending Support

## HAROLD BROWN

## CURRENT SUPPORT:

Agency: Defense Advanced Research Projects Agency

ID Number: N00039-83-C-0136
Project Title: Heuristic Programming Project

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Awarded: \$3,354,493 (Total Costs)

Period Covered: 10/1/82 - 9/30/85

Percent Effort Committed To Project: 100%

Agency: Defense Advanced Research Projects Agency

ID Number: F30602-85-C-0012

Project Title: Expert Systems on Multiprocessor Architecture

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$4,454,444 (Total Costs)

Period Covered: 3/14/85 - 3/13/89

Percent Effort Committed To Project: 50%, beginning 10/85

#### PENDING SUPPORT:

Agency: National Institutes of Health

ID Number: 5 P41 RR00785-13

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287
Period Covered: 8/1/81 - 7/31/86
Pending Award: (8/1/85 - 7/31/86) \$1,281,295 Percent Effort Committed To Project: 10%

Agency: Defense Advanced Research Projects Agency

ID Number: None

Project Title: Knowledge-Based Systems Research

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Requested: \$4,464,793 (Total Costs)

Period Covered: 10/1/85 - 9/30/88

Percent Effort Committed To Project: 10%

#### HISAKO PENNY NII

#### CURRENT SUPPORT:

Agency: National Institutes of Health ID Number: 5 P41 RR00785-12

Project Title: SU Medical Experiment Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287 Period Covered: 8/1/81 - 7/31/86

Current Award: (8/1/84 - 7/31/85) \$1,108,929 Percent Effort Committed to Project: 40%

Agency: Defense Advanced Research Projects Agency

ID Number: N00039-83-C-0136

Project Title: Heuristic Programming Project

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Awarded: \$3,354,493 (Total Costs) Period Covered: 10/1/82 - 9/30/85 Percent Effort Committed To Project: 40%

Agency: Defense Advanced Research Projects Agency

ID Number: F30602-85-C-0012
Project Title: Expert Systems on Multiprocessor Architecture

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$4,454,444 (Total Costs)

Period Covered: 3/14/85 - 3/13/89

Percent Effort Committed To Project: 50%, beginning 8/85

Agency: NASA-AMES Research Center

ID Number: NCC 2-220, S1

Project Title: Research on Advanced Knowledge-Based System Architectures

Principal Investigator: Edward A. Feigenbaum Amount Awarded: \$265,000 (Total Costs) Period Covered: 10/1/82 - 11/30/85 Percent Effort Committed To Project: 20%

#### PENDING SUPPORT:

Agency: Defense Advanced Research Projects Agency

ID Number: None

Project Title: Knowledge-Based Systems Research

Principal Investigators: Edward A. Feigenbaum & B.G. Buchanan Amount Requested: \$4,464,793 (Total Costs)
Period Covered: 10/1/85 - 9/30/88 Percent Effort Committed to Project: 5%

#### BARBARA HAYES-ROTH

#### CURRENT SUPPORT:

Agency: Defense Advanced Research Projects Agency

ID Number: N00039-83-C-0136

Project Title: Heuristic Programming Project

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Awarded: \$3,354,493 (Total Costs)

Period Covered: 10/1/82 - 9/30/85

Percent Effort Committed To Project: 75%

#### PENDING SUPPORT:

Agency: National Institutes of Health

ID Number: 5 P41 RR00785-13

Project Title: SU Medical Experimental Computer Resource (SUMEX) Principal Investigators: Edward H. Shortliffe & Edward A. Feigenbaum

Amount Awarded: \$6,400,287
Period Covered: 8/1/81 - 7/31/86
Current Award: (8/1/85 - 7/31/86) \$1,281,295

Percent Effort Committed To Project: 15%

Agency: Defense Advanced Research Projects Agency

ID Number: None
Project Title: Knowledge-Based Systems Research

Principal Investigators: Edward A. Feigenbaum & Bruce G. Buchanan

Amount Requested: \$4,464,793 (Total Costs)

Period Covered: 10/1/85 - 9/30/88

Percent Effort Committed To Project: 50%

Agency: Office of Naval Research

ID Number: None

Project Title: Computer-Based Tutors for Explaining and Managing

The Process of Diagnostic Reasoning Principal Investigator: Bruce G. Buchanan Amount Requested: \$510,311 (Total Costs)

Period Covered: 3/15/85 - 3/14/88

Percent Effort Committed to Project: 25%

#### CHARLOTTE JACOBS

#### CURRENT SUPPORT-

Agency: National Institutes of Health

ID Number: P01 CA34233-02

Project Title: Clinical and Laboratory Studies of the Malignant Lymphomas

Principal Investigator: Saul A. Rosenberg

Period Covered: 4/1/83 - 3/31/86

Current Award: (4/1/85 - 3/31/86) \$1,314,907 Percent Effort Committed To Project: 10%

Agency: National Institutes of Health

ID Number: CA09287-07

Project Title: Investigative Oncology Principal Investigator: Saul A. Rosenberg

Period Covered: 9/1/78 - 8/31/88

Current Award: (9/1/84 - 8/31/85) \$92.580 Percent Effort Committed To Project: 3%

Agency: National Institutes of Health

ID Number: 1 R24-RR01631

Project Title: Studies in the Dissemination of Consultation Systems

Principal Investigator: Edward H. Shortliffe Period Covered: 7/1/83 - 6/30/86 Current Award: (7/1/84 - 6/30/85) \$222,511 Percent Effort Committed To Project: 5%

Agency: National Institutes of Health

ID Number: CA25862-04

Project Title: Northern California Oncology Group

Principal Investigator: C. Norman Coleman

Period Covered: 8/1/83 - 7/31/86

Current Award: (8/1/84 - 7/31/85) \$104.483 Percent Effort Committed To Project: 3%

Agency: National Institutes of Health

ID Number: 1R01A2 CA33849

Project Title: Chemical Modifiers of Radiation Therapy and Chemotherapy

Principal Investigator: C. Norman Coleman

Period Covered: 5/1/84 - 4/30/87

Current Award: (5/1/85 - 4/30/86) \$184,256 Percent Effort Committed To Project: 10%

## PENDING SUPPORT:

Agency: National Institutes of Health

ID Number: 1R01CA3771-01
Project Title: Modifiers of Cisplatin Nephrotoxicity

Principal Investigator: Charlotte Jacobs

Amount Requested: \$361,503 Period Covered: 7/1/85 - 6/30/88

Percent Effort Committed To Project: 30%

## Current and Pending Support

Agency: Bristol Myers ID Number: None

Project Title: Improvements in the Efficacy of Cisplatin Nephrotoxicity

Principal Investigator: Charlotte Jacobs

Amount Requested: \$347,658 Period Covered: 7/1/85 - 6/30/86

Percent Effort Committed To Project: 30%

Agency: National Institutes of Health ID Number: 2 R25 CA21555-07A1
Project Title: Cancer Education Program
Principal Investigator: Charlotte Jacobs
Amount Requested: \$293,182

Period Covered: 7/1/85 - 6/30/90

Percent Effort Committed To Project: 25%

Agency: Bristol Myers ID Number: None

Project Title: A Phase III Randomized Study Comparing High Dose Bolus Platinol (DDP) and 96-Hour Continuous Infusion Fluorouracil (5-FU) in Combination and as Single Agents in Advanced Squamous Cell

Carcinoma of the Head and Neck Principal Investigator: Charlotte Jacobs

Amount Requested: \$21,600

Period Covered: 7/1/85 - 6/30/86

Percent Effort Committed To Project: 26%